# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT NOV 2 5 2002 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No. 14998-230

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In Re-Application C	)T.
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Aikawa, et. al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/635,109	August 9, 2000	Mike Stahl	2874

Title: "OPTICAL FIBER AND OPTICAL TRANSMISSION SYSTEM"

#### Address to:

Assistant Commissioner for Patents Washington, D.C. 20231

### 37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

#### 37 CFR 1.97(c)

- 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 14998-230 In Re Application: Aikawa, et. al. Serial No. Filing Date Examiner Mike Stahl 09/635,109 August 9, 2000 "OPTICAL FIBER AND OPTICAL TRANSMISSION SYSTEM" NOV 2 5 2002 **Payment of Fee** (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. ☑ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-1240 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of X Credit any overpayment. Charge any additional fee required. Certificate of Mailing by First Class Mail Certificate of Transmission by Facsimile\* certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States November 202002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Compressioner for Patents Patent and Trademark Office (Fax. No. Washington, D. 2. 20231. (Date) Signature of Person Mailing Correspondence Signature Tammy Parubchenko Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate \*This certificate may only be used if paying by deposit account. Dated: November 19, 2002 Signature Daniel Basov, Reg. No. 42,303 CJADBOURNE & PARKE LLP 30 Rockefeller Plaza New York, New York 10112 1-212-408-5275 cc:

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Serial No. 09/635,109	Filing Date August 9, 2000		TC 2800 MAIL RO Examiner MAIL RO Mike Stahl	Group Art Unit 2874
Title: "OPTICAL FIBE	ER AND OPTICAL TRANSMISS	SION SYSTI	E <b>M</b> ''	
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PTO-1449 FORM 3 REFERENCES - TW CERTIFICATE OF FIRE in the above identified ap  No additional fee is A check in the amount of the Assistant Communication as described below Charge the Ceredit any	NEORMATION DISCLOSURE ST TO WITH TRANSLATIONS AND RST CLASS MAIL AND CONFID Oplication. s required.	ONE WITH RMATORY  hed. o charge and	COVER SHEET OF V POSTCARD	WO 0062106
Daniel Basov, Reg. No. 42 CHADBOURNE &PARK 30 Rockefeller Plaza New York, New York 101 1-212-408-5275	E LLP	Dated: N	movember 20202 first class mail under 37 ( Assistant Commissioner 20231.	ment and fee is being deposited with the U.S. Postal Service as G.F.R. 1.8 and is addressed to the for Patents Washington, D.C.

Tanimy Parubchenko

Typed or Printed Name of Person Mailing Correspondence

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TRANSMITTAL OI	Docket No. 14998-230			
In Re Application:	Aikawa, et. al.		HOV2	7 <sub>2002</sub>
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## TRANSMITTAL LETTER Docket No. (General - Patent Pending) 14998-230 In Re Application Of: Aikawa, et. al. 2800 MAIL ROOF roup Art Unit Examiner Serial No. Filing Date 2874 09/635,109 August 9, 2000 Mike Stahl "OPTICAL FIBER AND OPTICAL TRANSMISSION SYSTEM" Title: NOV 2 5 2002 TO THE ASSISTANT COMMISSIONER FOR PATENTS: Transmitted herewith is: TRANSMITTAL LETTER TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (c) **PTO-1449 FORM** 3 REFERENCES - TWO WITH TRANSLATIONS AND ONE WITH COVER SHEET OF WO 0062106 CERTIFICATE OF FIRST CLASS MAIL AND CONFIRMATORY POSTCARD in the above identified application. No additional fee is required. A check in the amount of is attached. The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1240 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of Credit any overpayment. $\boxtimes$ $\boxtimes$ Charge any additional fee required. Dated: NOVEMBER 19, 2002 Daniel Basov, Reg. No. 42,303 CHADBOURNE &PARKE LLP I certify that this document and fee is being deposited 30 Rockefeller Plaza

New York, New York 10112 1-212-408-5275

Movember 19 2002 with the U.S Postal Service as first class mail under 37 CF.R. 1.8 and is addressed to the Assistant Commissioner for Patents / Washington, DA 20231.

Signature of Person Mailing Correspondence

Tammy Parubchenko

Typed or Printed Name of Person Mailing Correspondence

CERTIFICATE OF	MAILING BY FIRST CLAS	SS MAIL (37 CFR 1.8)	Docket No.
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INFORMATION DISCLOSURE CITATION				Applicant(s) Aikawa, et. al.						
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Form PTO-A820 (also form PTO-1449) P09A/REV04